Regulation and Function of the Drosophila Segmentation Gene *fushi tarazu*

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Summary

The Drosophila segmentation gene fushi tarazu (ftz) is expressed in a pattern of seven stripes at the blastoderm stage. Two cis-acting control elements are required for this expression: the zebra element, which confers the striped pattern by mediating the effects of a subset of segmentation genes; and the upstream element, an enhancer element requiring ftz⁺ activity for its action. Fusion of the upstream element to a basal promoter results in activation of the heterologous promoter in a ftz-dependent striped pattern, supporting the idea that ftz regulates itself by acting through its enhancer. The upstream element can also confer expression patterns similar to that of the homeotic gene Antennapedia, suggesting that a similar element may play a role in the activation of Antennapedia.

Introduction

The process of segmentation in Drosophila is governed by a set of genes called the segmentation genes (Nüsslein-Volhard and Wieschaus, 1980). They can be subdivided into three classes according to the embryonic phenotypes of their mutants: the gap mutants, which cause deletions of continuous stretches of segments; the pair-rule mutants, which produce pattern deletions with double-segment periodicity; and the segment-polarity mutants, which delete part of every segment.

An important characteristic of the segmentation genes is that they are expressed in a spatially restricted manner during early embryogenesis. For example, all of the pairrule genes analyzed are expressed in overlapping patterns of stripes of cells at the blastoderm stage (Hafen et al., 1984a; Ingham et al., 1985a; Kilchherr et al., 1986; Harding et al., 1986; Macdonald et al., 1986). Concerted action of several pair-rule genes appears to instruct the blastoderm cells to contribute to the normal pattern (reviewed by Gergen et al., 1986; Scott and O'Farrell, 1986). Normal patterns of expression are essential for the execution of proper function, because ectopic expression or overexpression leads to dominant phenotypes (Struhl. 1985; Gergen and Wieschaus, 1986). Therefore, the question of how the spatial pattern of expression of segmentation genes is achieved is one of the central problems for understanding development.

The fushi tarazu (ftz) gene is one of the best characterized pair-rule genes (Wakimoto et al., 1984; Kuroiwa et al., 1984; Weiner et al., 1984). The ftz gene contains a homeobox of the Antennapedia (Antp) type and is located within one of the homeotic gene clusters, the Antennapedia complex (McGinnis et al., 1984; Laughon and Scott, 1984; reviewed by Gehring and Hiromi, 1986). Expression of the ftz gene occurs in two phases during embryonic development. At the blastoderm stage, ftz transcripts and protein accumulate in cells that form a pattern of seven stripes of double-segment periodicity (Hafen et al., 1984a; Carroll and Scott, 1985). Later, during neurogenesis, ftz is expressed in a segmentally repeated pattern in specific neuronal precursors and progeny in the developing central nervous system (CNS) (Carroll and Scott, 1985; Hiromi et al., 1985; Doe et al., unpublished). The difference in periodicity between expression in the stripes and in the CNS suggests the existence of different regulatory mechanisms for ftz expression during the two stages.

We have previously shown that normal ftz expression and function depend on several cis-acting control elements (Hiromi et al., 1985). Analysis of expression of ftz/lacZ fusion genes in transformant embryos has revealed three control elements in the 5' flanking region: the "zebra element," which confers a striped pattern of expression; the "neurogenic element," which is involved in expression in the CNS; and the "upstream element," which has an enhancer-like effect on expression in the stripes. The location of these elements suggests that the regulation of expression is mainly, if not exclusively, at the transcriptional level. Measurement of transcript stability also argues against differential degradation of transcripts being the mechanism whereby the striped pattern is generated (Edgar et al., 1986).

Here, by analysis of ftz/lacZ gene expression in segmentation mutants, we have identified genes encoding factors that act via ftz control elements. We find that normal expression in the striped pattern requires products of a subset of segmentation genes whose action is mediated by different control elements. In particular, the product of the ftz gene itself appears to be a factor that is required for the enhancer action of the upstream element.

Results

The Upstream Element Is an Enhancer Required for Expression in the Stripes

We have constructed a set of ftz/lacZ genes to investigate the role of the upstream element in ftz transcription (Figure 1). These ftz/lacZ genes have the zebra element fused to the lacZ gene, and the upstream element is inserted at various positions and orientations. P elements containing ftz/lacZ genes were introduced into the genome by P element–mediated transformation, and several independent transformant lines were established. The pattern of expression of each fusion gene was determined as described in Experimental Procedures.

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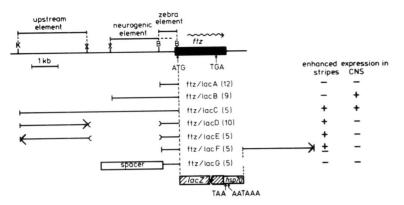


Figure 1. Schematic Diagram of ftz/lacZ Genes

The top line shows the structure of the ftz gene. The transcribed region is shown by a solid box, and three control elements in the 5' flanking region are indicated. Restriction sites: K, Kpnl; X, Xbal; B, Ball. Solid lines below represent ftz upstream sequences included in the fusion genes. The fusion point is within the second amino acid codon of the ftz gene. ftz/lacA through ftz/lacD constitute a simple 5' deletion series. ftz/lacD and ftz/lacE have an internal deletion (shown by parentheses) in the 5' flanking region including the neurogenic element, and the upstream element is fused to the zebra element in normal (ftz/lacD) and opposite

(ftz/lacE) orientation. ftz/lacF has the upstream element inserted downstream of the transcription unit. The indicated sequences were inserted into the P-element vectors Carnegie 20 or cp20.1 such that the rosy⁺ gene is located at the 5' side of the ftz/lacZ genes. ftz/lacG has a 2.5 kb spacer sequence upstream of the zebra element. The distance between rosy⁺ and the zebra element is therefore approximately the same in ftz/lacD, ftz/lacE, and ftz/lacG.

Numbers in parentheses indicate the number of independent transformant lines examined for each construct. Three of the ftz/lacA lines and five of the ftz/lacD lines were obtained by inducing secondary transpositions from original integration sites. Expression of each ftz/lacZ gene is summarized at right.

Figure 2 shows the patterns of expression of ftz/lacZ genes at the germ-band extension stage. As previously reported, the ftz/lacZ genes that do not contain the upstream element (ftz/lacA and ftz/lacB genes) are expressed only weakly in the stripes compared with a gene that does contain this element (the ftz/lacC gene). Although the quantitative difference in the levels of β -galactosidase is only about 2-fold (Hiromi et al., 1985), there is a spatial difference in β -galactosidase localization. In transformant embryos carrying ftz/lacA or ftz/lacB genes,

the expression in the mesoderm is rather strong, whereas staining is greatly reduced in the ectoderm, relative to the ftz/lacC transformants (Figures 2A–2C, 2H, and 2I). Addition of the upstream element in either orientation to the 5' side of the zebra element (ftz/lacD and ftz/lacE genes) restores strong expression in the ectoderm (Figures 2D, 2E, and 2J). When the upstream element is inserted 3' to the lacZ gene (in the ftz/lacF gene), expression in the ectoderm is partially restored, but the levels of expression are lower than with the ftz/lacD and ftz/lacE genes (Figure

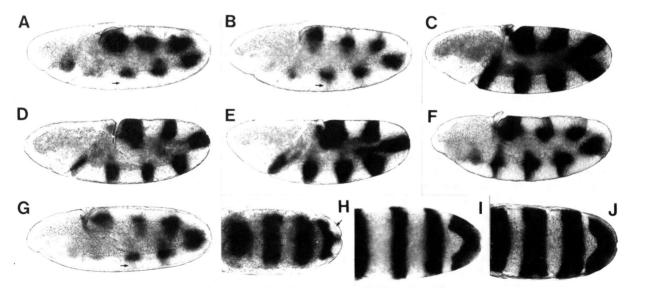


Figure 2. Expression of ftz/lacZ Genes in the Stripes

(A–G) Localization of β -galactosidase in transformant embryos carrying ftz/lacA through ftz/lacG genes, respectively. Whole-mount embryos were stained for β -galactosidase activity at the germ-band extension stage (4.5–6 hr of development). In ftz/lacA, ftz/lacB, and ftz/lacG transformant embryos, the staining appears mainly internally because of weak expression of these genes in the ectoderm (arrows in A, B, and G). (H–J) Ventral views of ftz/lacA (H), ftz/lacC (I), and ftz/lacD (J) transformant embryos in which the fourth stripe is almost parallel to the anterior–posterior axis of the embryo. The ftz/lacA gene is expressed mainly in the mesoderm (H), whereas ftz/lacC and ftz/lacD transformants show strong staining in expression of β -galactosidase in the region anterior to the cephalic furrow (Hiromi et al., 1985) (A, B, G, and also F). This expression is somewhat variable and is not discussed in this paper.

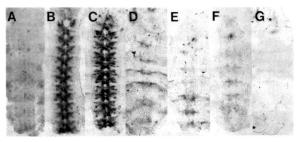


Figure 3. Expression of ftz/lacZ Genes in the CNS

Transformant embryos after the germ-band shortening (12–15 hr) were stained for β -galactosidase activity and were subsequently dissected to reveal the ventral nerve cord. (A–G) Embryos carrying ftz/lacA through ftz/lacG genes, respectively. Significant expression in the CNS is observed for ftz/lacB and ftz/lacC transformants only, which correlates with the presence of the neurogenic element. Residual staining in double-segment periodicity is due to expression in the stripes at earlier stages.

2F). The ftz/lacG gene is identical to the ftz/lacA gene except that a spacer sequence similar in length to the upstream element has been used to distance the zebra element from the flanking rosy⁺ gene. Expression of this gene is indistinguishable from that of the ftz/lacA gene (Figure 2G), indicating that there is a requirement for a specific sequence to achieve enhanced expression, and not that the upstream element simply serves to separate the zebra element–lacZ gene from possible inhibitory effects from the flanking sequences. Since the upstream element acts at a distance and in both orientations, we conclude that it is an enhancer element required for ectodermal expression in the stripes.

Although the ftz/lacD and ftz/lacE genes are expressed strongly in the stripes, they show little, if any, expression in the CNS (Figures 3D and 3E). Strong expression in the CNS is achieved only with ftz/lacZ genes that contain the neurogenic element—namely, ftz/lacB and ftz/lacC (Figures 3B and 3C). Our results show that the actions of the upstream element and the neurogenic element are independent. Enhancement of expression in the stripes requires the presence of the upstream element but not the neurogenic element (ftz/lacD and ftz/lacE genes). Conversely, high levels of expression in the CNS require the neurogenic element but not the upstream element (ftz/lacB gene).

Mutations Affecting Expression in the Stripes

To identify *trans*-acting factors that interact with *cis*-acting elements, we have adopted a genetic approach to search for genes encoding *trans*-acting factors. Several of the segmentation mutations have been shown to change the distribution of the *ftz* protein and/or transcript (Carroll and Scott, 1986; Howard and Ingham, 1986). If such mutations also alter the expression of *ftz/lacZ* genes, this would indicate that the wild-type product of the gene acts through the sequences included in the fusion gene. The site of action could then be mapped using *ftz/lacZ* genes that have deletions in the control region.

We have used the ftz/lacC gene, which contains all

three cis-acting elements, to search for mutations that alter its expression in the stripes. We find that all of the gap mutations and a subset of the pair-rule mutations affect the pattern of stripes at the blastoderm stage. Patterns of expression of the ftz/lacC gene in the gap mutant hunchback and in the pair-rule mutants hairy and runt are shown in Figures 4A, 4C, and 4E. The change in the pattern of β -galactosidase localization resembles the altered distribution of ftz transcripts and protein (Carroll and Scott, 1986; Howard and Ingham, 1986). We conclude that the wild-type products of these genes interact, either directly or indirectly, with one or more of the cis-acting control elements in the 5' flanking region of the ftz gene.

To identify which control element is the site of interaction, we have analyzed expression of the *ftz/lacA* gene, which contains only the zebra element from the *ftz* gene. In all of the gap mutants and in pair-rule mutants *hairy* and *runt*, the pattern of expression of the *ftz/lacA* gene is altered in a way similar to the change in the expression pattern of the *ftz/lacC* fusion gene (Figures 4B, 4D, 4F, and data not shown). This indicates that the zebra element mediates the effect of the products of these *trans*-acting genes to establish the normal striped pattern.

The ftz⁺ Product Is Required to Maintain Quantitative Levels of Expression in the Stripes

Using ftz/lacZ genes, it is possible to ask whether products of the ftz gene itself are necessary for normal expression of the ftz gene. In ftz mutant embryos the ftz/lacC gene is expressed in a periodic manner in seven stripes, but the accumulation of β -galactosidase in the ectoderm is greatly reduced compared with expression in the wild-type embryos (Figure 4G). This suggests that the ftz^+ product is required to maintain quantitative levels of expression of its own gene at the blastoderm stage.

Mutations in the *hairy* gene cause ectopic expression of the *ftz* gene in cells that normally do not express *ftz* (Howard and Ingham, 1986; Carroll and Scott, 1986; see Figure 4F). We investigated whether *ftz*⁺ function is also required for ectopic expression of the *ftz* gene in *hairy* mutant embryos by examining *ftz/lac*C gene expression in embryos that lack both *hairy*⁺ and *ftz*⁺ activity. Although the *ftz/lac*C gene is expressed in a broadened pattern in the mesoderm, as in *hairy* mutant embryos, there is little staining in the ectoderm, which is characteristic of *ftz* mutant embryos (Figure 4I). Thus ectopic expression of the *ftz* gene in *hairy* mutant embryos is also dependent on *ftz*⁺ activity.

To map the site of interaction between the ftz^+ product and control elements of the ftz gene, expression of the ftz/lacA gene in ftz mutant embryos was examined. In contrast to the other segmentation mutations affecting the pattern of the stripes, loss of ftz^+ activity had no effect on the expression of the ftz/lacA gene (Figure 4H). This indicates that there is no functional interaction between the ftz^+ product and the zebra element, and that the site of ftz^+ action has to be farther upstream. Since the neurogenic element is dispensable for expression in the stripes, the most likely candidate is the upstream element, which is an enhancer element required for expression in the

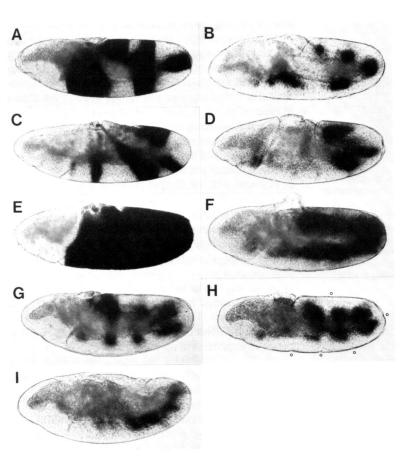


Figure 4. Mutations Affecting Expression in the Stripes

Expression of ftz/lacZ genes in segmentation mutant embryos was examined by crossing transformant lines carrying ftz/lacZ genes into mutant stocks. Embryos were stained in whole mounts for β-galactosidase activity. The left column (A, C, E, G, and I) shows the expression of the ftz/lacC gene, and the right column (B, D, F, and H) shows expression of the ftz/lacA gene. (A, B) hunchback14F21. (C, D) runtYE96. (E, F) hairy^{5H07}. (G, H) ftz^{9H34}. (I) hairy^{5H07} ftz9H34 double mutant. Compare with expression in wild-type embryos in Figures 2A and 2C. Homozygous mutant embryos were identified by alterations in the staining pattern except in (H), where we relied on morphological criteria (reduction in the number of the epider-

mal grooves, indicated by open circles). In hunchback mutant embryos (A), the anterior three stripes are replaced by a broad stripe. In addition, the spacing between the last two stripes is narrower than in the wild-type embryos. Other gap mutations (Krüppel, knirps, and tailless) also alter the pattern of ftz/lacC gene expression in a way similar to the alteration in distribution of ftz transcripts and protein (Ingham et al., 1986; Carroll and Scott, 1986; data not shown). runt mutant embryos (C) have only five stripes of different widths. The missing stripes correspond to the second and the sixth stripes of wild-type embryos, because in hypomorphic runt alleles (e.g., XK52 and YC28; Gergen and Wieschaus, 1986) these stripes are present but are narrower than their wild-type counterparts (data not shown). In hairy mutant embryos (E), each stripe is broad-

ened so that almost all cells in the region that normally gives rise to seven stripes are stained. The expression does not extend anterior to the cephalic furrow, which is the anterior boundary of the first stripe in wild-type embryos. In these mutants and also in other gap mutants, a pattern change similar to that found for expression of the ftz/lacC gene is observed for expression of the ftz/lacA gene (B, D, F, and data not shown). In ftz mutant embryos (G), the ftz/lacC gene is expressed only weakly in the ectoderm, similar to the expression of the ftz/lacA gene in wild-type embryos (compare with Figure 1A). Expression of the ftz/lacA gene is not affected by the ftz mutation (H). The ftz mutation also affects ectopic expression of the ftz/lacC gene in hairy mutant embryos (I).

stripes. Indeed, the expression of the <code>ftz/lacA</code> gene (which lacks the upstream element) in wild-type embryos is similar to the expression of the <code>ftz/lacC</code> gene (which includes the upstream element) in <code>ftz</code> mutant embryos (compare Figure 2A with Figure 4H). Thus, deletion of the upstream element has the same effect on expression as deletion of a putative <code>trans-acting</code> factor required for the action of the enhancer.

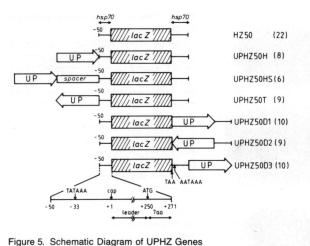
The Upstream Element Can Activate a Foreign Promoter in a ftz-Dependent Manner

Our results suggest that there is an interaction between the ftz⁺ product and the upstream element to achieve enhanced expression in the stripes. If the ftz-dependent effect of the upstream element is independent of the other control elements of the ftz gene, this element should confer ftz-dependent enhancer activity when fused to a heterologous promoter. To test this possibility, we constructed a series of P elements containing lacZ fusion genes in which the upstream element is fused to a basal promoter of the Drosophila hsp70 gene (Figure 5). The basic construct is HZ50, which contains the sequence of the hsp70 promoter up to position -50 relative to the transcription

box and 17 nucleotides farther upstream but lacks all of the heat shock elements shown to be required for heat inducibility (reviewed by Pelham, 1985). Transcription from the initiation site of the hsp70 gene would produce a fusion transcript containing the hsp70 leader sequence and the sequence encoding the first seven amino acids of the hsp70 protein, the lacZ coding sequence, and an hsp70 trailer sequence with the polyadenylation signal, thus having no contribution from the ftz transcript. The upstream element of the ftz gene was inserted at various positions and orientations as shown in Figure 5. These ftz upstream element–hsp70 promoter–lacZ fusion genes are collectively called UPHZ genes. Transformant lines harboring these fusion genes were established by means of P element–mediated transformation.

initiation site. This sequence in HZ50 includes the TATA

We first analyzed expression of the HZ50 gene, which contains no sequence from the ftz gene. Embryos from 22 independent transformant lines were stained in whole mounts for β -galactosidase activity. Most of the lines showed little, if any, expression, whereas some lines exhibited various levels of β -galactosidase, the pattern varying from line to line (see Experimental Procedures). As ex-



HZ50 is a control gene that has a promoter of the hsp70 gene, containing sequences up to -50 from the cap site, fused in frame to the lacZ gene. At the 3' side, trailer sequence and the polyadenylation signal are also provided by the hsp70 gene. UPHZ genes have the ftz up-

are also provided by the *hsp70* gene. UPHZ genes have the *ftz* upstream element (indicated by an open arrow labeled UP) inserted at various positions and orientations. All fusion genes were inserted into the Carnegie 20 vector or its derivative such that the *rosy*⁺ gene lies at the 5' side. The numbers in parentheses indicate the number of independent transformant lines examined for each construct.

pected, expression was not affected by heat shock (data not shown).

If fusion of the ftz upstream element to a heterologous promoter confers ftz-dependent enhancer activity, we expected UPHZ genes to be active (or to show enhanced expression) in cells that contain the ftz^+ product, and inactive (or to show no enhancement of expression) in cells that do not express the ftz gene. The expression pattern

of the UPHZ50H gene, in which the upstream element is fused directly to the hsp70 promoter, is shown in Figure 6A. β-Galactosidase activity is localized in cells forming seven stripes, with little staining in the region between the stripes. The level of β-galactosidase varies considerably among the cells in the stripes, giving rise to a patchy appearance (Figure 6A; see also Figure 6C). These stripes appear to be in phase with the stripes of cells that express the ftz gene, since after germ-band retraction each stripe contributes to the posterior part of even-numbered abdominal segments and the anterior part of odd-numbered segments (Figure 6B). The UPHZ50HS gene, in which the upstream element is separated from the hsp70 promoter by a spacer sequence of 2.5 kb, is also expressed in a similar pattern, although the expression was in general weaker than with the UPHZ50H gene (Figure 6C). Thus the ftz upstream element can activate a foreign promoter in a striped pattern at variable distances, consistent with our hypothesis that it is a ftz-dependent enhancer.

The enhancer effect of the upstream element could also be demonstrated when it was placed 3' to the transcription unit. In the UPHZ50D1 and UPHZ50D2 genes, the upstream element is inserted between the translational stop codon and the polyadenylation signal. Transformant embryos carrying these fusion genes exhibited weak staining in a striped pattern (Figures 6D and 6E). Since these constructs alter the structure of the transcript, we cannot tell whether this weak staining is due to inability of the upstream element to function efficiently at the 3' position, or to decreased stability of the transcript. When the upstream element was inserted about 0.6 kb downstream of the polyadenylation signal (in the UPHZ50D3 gene), we could not detect a striped pattern of expression in any of

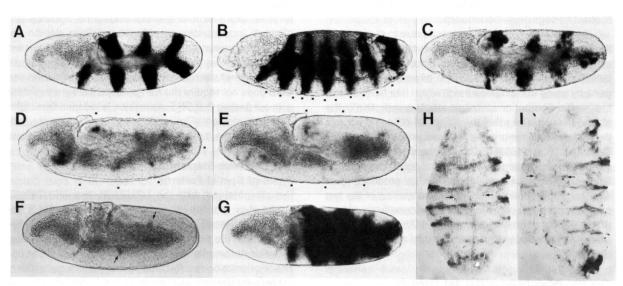
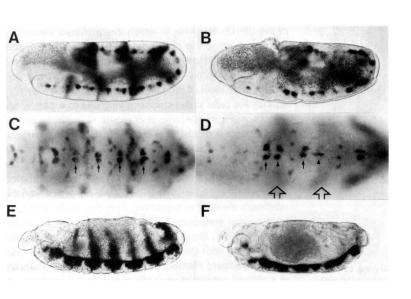


Figure 6. Expression of the UPHZ Genes

(A–E) Localization of β-galactosidase in transformant embryos carrying UPHZ genes in the wild-type background. (A, B) Expression of the UPHZ50H gene at the germ-band extension stage (A) or after germ-band shortening (B). Segmental boundaries are indicated by dots. (C) Expression of the UPHZ50HS gene. (D) Expression of the UPHZ50D1 gene. (E) Expression of the UPHZ50D2 gene. Positions of the weakly stained stripes are shown by dots in (D) and (E). (F) Expression of the UPHZ50H gene in a *ftz*^{9H34} mutant embryo; arrows point to weakly stained cells. (G) Expression of the UPHZ50H gene in a *hairy*^{5H07} mutant embryo. (H, I) Dissected nervous system from UPHZ50H (H) and UPHZ50HS (I) transformant embryos (12–15 hr) in a wild-type background; lateral boundaries of the CNS are indicated by arrows.



ftz Expression in the CNS Expression of the ftz/lacC gene in wild-type (A, C, and E) and ftz mutant embryos (B, D, and F). (A-D) Extended germ band stage (~7 hr). (C) and (D) are ventral views of embryos in (A) and (B), respectively. Arrows indicate MP2s, a pair of identified ftz+ neuronal precursors (Doe et al., unpublished), or their progeny. Arrowheads show ectopically formed MP2s residing in the stripes (open arrows). Detailed description and interpretation of the pattern alteration will be presented elsewhere. (E, F) Expression after germ-band shortening (~10 hr). Although the accumulation of β-galactosidase in the stripes is much reduced in ftz mutant embryos, cells in the CNS stain equally strongly, showing that ftz+ neurons survive in the absence of ftz+ activity.

Figure 7. The ftz+ Product Is Not Required for

10 independent transformant lines examined (data not shown). We conclude that the upstream element can activate a foreign promoter from the 3' side, but its effect is much weaker than at the 5' position. A critical experiment to test our hypothesis was to exam-

ine the expression of UPHZ genes in the absence of the ftz+ product. Figure 6F shows expression of the UPHZ50H gene in a ftz mutant embryo. The level of β -galactosidase in ftz mutants is drastically reduced compared to that in wild-type embryos, but is not completely eliminated. ftz-independent activity can be detected in a few

cells, which are located roughly at the positions of ftz+ stripes in wild-type embryos. However, because of weak staining and the small number of stained cells, it was not possible to determine the exact phase of expression with respect to segmental boundaries. If the expression of UPHZ genes is due to response of the upstream element to the ftz product, ectopic expres-

sion of the ftz gene may lead to ectopic expression of UPHZ genes. We induced ectopic expression of the ftz gene by using the mutation hairy, which has been shown to alter the distribution of ftz transcripts and protein in the stripes in such a way that the stripes of cells that contain the ftz+ product are broader (Howard and Ingham, 1986; Carroll and Scott, 1986). Ectopic expression of the ftz/lacZ genes in hairy mutant embryos is dependent on both the upstream element (Figure 4F) and the ftz+ product (Figure 41). This strongly suggests that the interaction of the two components takes place in cells that normally do not express the ftz gene. Indeed, the UPHZ50H gene is expressed in a broadened pattern in hairy mutant embryos

The ftz⁺ Product Does Not Act through the Upstream Element in the CNS

(Figure 6G).

Although these results are consistent with the idea that the upstream element responds to the distribution of the ftz+ product, we found that the same does not hold true for ftz expression in the CNS. Since certain neuronal precursors and progeny in the CNS contain ftz protein, we

pression of any of the UPHZ genes in the CNS (Figures 6H and 6I, and data not shown), indicating that ftz cannot activate itself through the upstream element in the CNS. We therefore asked whether or not there is a requirement for ftz+ activity for ftz expression in the CNS. Figure 7 shows the expression of the ftz/lacC gene in wild-type and ftz mutant embryos during neurogenesis. Even when ftz+ activity is absent, we find that certain neuronal precursors and progeny express the ftz/lacC gene. The arrangement of these ftz+ cells is altered, presumably because of the segmentation defect in the organization of

the CNS (Wakimoto et al., 1984; Doe and Goodman,

1985). In spite of the pattern alterations, the quantitative

level of expression of the ftz/lacC gene appears un-

affected. Indeed, staining of these cells can also be de-

tected after germ-band shortening, indicating that the

cells can survive in the absence of ftz+ activity (Figure 7F). We conclude that expression of the ftz gene in the

expected that UPHZ genes would also be expressed in

those ftz+ cells in the CNS. However, we detected no ex-

CNS does not require the ftz+ product. This is consistent with our finding that CNS expression is independent of the upstream element (Figure 3B), which appears to mediate the effect of the ftz^+ product for expression in the stripes. The Upstream Element Can Confer Other

Types of Spatial Patterns

Results obtained with the UPHZ50H and UPHZ50HS genes show that the upstream element can confer a ftzdependent striped pattern of expression at variable distances from the promoter. However, we found that fusion of the upstream element to the hsp70 promoter in the opposite orientation resulted in a spatial pattern quite different from ftz-like stripes. Figures 8A to 8C show the expression of the UPHZ50T gene. At the cellular blastoderm stage, β -galactosidase is mainly localized to cells that form a single stripe of a width of about three cells at approximately 55% egg length (0% is the posterior pole of the embryo) (Figure 8A). This stripe does not form a complete ring around the embryo; a region at about 25% in

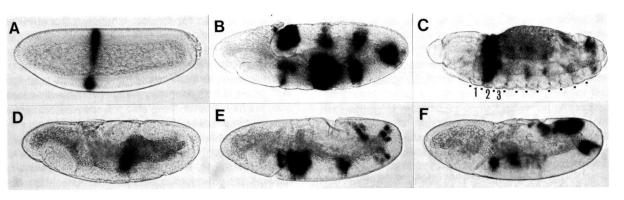


Figure 8. Expression of the UPHZ50T Gene (A–C) Localization of β-galactosidase in transformant embryos carrying the UPHZ50T gene in a wild-type background. (A) Cellular blastoderm stage (3.5 hr). (B) Extended germ band stage (6 hr). Note that the anterior boundary of the second stripe coincides with the parasegmental groove. The stained cells in the weakly stained stripes are located around and dorsal to the tracheal pits. (C) Expression after germ-band shortening (10 hr). Segmental boundaries are indicated by dots. Three thoracic segments are numbered. (D–F) Expression of the UPHZ50T gene in *ftz*^{9H34} (D), *hunchback*^{14F21} (E), and *Krüppel*[©] mutant embryos.

stained only weakly. Cells at other positions also express the UPHZ50T gene at lower levels, giving rise to a pattern of seven stripes. This pattern is more easily seen during germ-band elongation, when the level of β-galactosidase increases (Figure 8B). Not all of the stripes are stained equally: the second stripe stains the strongest, followed by the seventh stripe, and the remaining five stripes show rather weak staining. Localization of β-galactosidase with respect to morphological landmarks of segmentation reveals that the most strongly stained (second) stripe corresponds to the posterior part of the first thoracic segment and the anterior part of the second thoracic segment, or parasegment 4 (PS4; Martinez-Arias and Lawrence, 1984), which is in phase with the second stripe of the ftz gene (Figures 8B and 8C). The second stripe of UPHZ50T transformant embryos is stained much stronger than the stripes of UPHZ50H transformants, indicating that the pat-

the dorsoventral dimension (0% is the ventral midline)

to specific activation in PS4.

Since the amount of ftz product present in cells forming each stripe seems to be rather similar (Hafen et al., 1984a; Carroll and Scott, 1985), the ftz⁺ product alone cannot account for the PS4-specific activation of the UPHZ50T gene. Indeed, a similar pattern of expression can be detected even in the absence of ftz⁺ activity, albeit with greatly reduced intensity (Figure 8D). This indicates that the additional factor (or factors) responsible for the PS4-specific activation can by itself activate the UPHZ50T gene.

tern of UPHZ50T gene expression is due, at least partly,

To search for genes encoding such factors, we have examined expression of the UPHZ50T gene in embryos carrying mutations in homeotic genes and segmentation genes known to be required in PS4. Among the homeotic genes, the *Antp* gene is expressed and required in PS4 from the blastoderm stage on (Schneuwly and Gehring, 1985; Levine et al., 1983; Martinez-Arias, 1986). Since the pattern of expression of the UPHZ50T gene at the blastoderm stage is similar to expression from the second pro-

moter of the *Antp* gene (Ingham and Martinez-Arias, 1986), we wondered whether the *Antp*⁺ product might be responsible for the PS4-specific activation of the UPHZ50T gene. However, we found no difference in expression of the UPHZ50T gene between wild-type and *Antp*⁻ mutant embryos (data not shown).

Two of the gap mutations, *hunchback* and *Krüppel*, af-

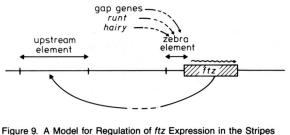
fect the cuticle structures of PS4 (Lehmann and Nüsslein-Volhard, 1987; Wieschaus et al., 1984), and the Krüppel gene is expressed in its primordium (Knipple et al., 1985; Jäckle et al., 1986). Since both of these mutations alter the distribution of the ftz gene product (Carroll and Scott, 1986; Ingham et al., 1986), we expected that the expression of UPHZ genes would be altered accordingly. In hunchback mutant embryos, the anteriormost three stripes of ftz+ cells are replaced by a broad stripe of cells expressing ftz. This broad stripe is sometimes split into a narrow stripe and a broader stripe, which are separated by a narrow stripe of ftz-cells (Carroll and Scott, 1986; see also Figure 4A). The anterior three stripes of the UPHZ50T gene also show a similar change in pattern, but the broad band is clearly stained more strongly then other stripes, suggesting that the factor that discriminates the second stripe from others is still present in hunchback mutant embryos (Figure 8E). In Krüppel mutant embryos, the ftz product is localized in four stripes with variable spacing, and also in a weaker and narrow stripe between the first and the second stripe (Ingham et al., 1986; Carroll and Scott, 1986). Expression of the UPHZ50T gene in Krüppel mutant embryos mimics the distribution of the ftz product (Figure 8F). However, there is no stripe that is stained more strongly than others, indicating that Krüppel⁺ activity is required for PS4-specific activation of

Discussion

the UPHZ50T gene.

Spatially restricted patterns of expression of the ftz gene are likely to be mediated by specific interactions between

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Normal expression of the ftz gene in the stripes requires two cis-acting control elements, the zebra element and the upstream element. Products of the gap genes and the pair-rule genes runt and hairy are re-

quired for the action of the zebra element, whereas the ftz+ product

acts through the upstream element. This model is not intended to show

all factors interacting with the control elements, nor does it apply to ftz

expression in the CNS. For example, interaction of the ftz⁺ product

and the upstream element does not take place in the CNS.

cis-acting control elements of the ftz gene and transacting factors. We have employed transformant flies carrying ftz/lacZ fusion genes containing all or a subset of the ftz control elements to identify such interactions. Normal expression in the stripes requires two control elements, the zebra element and the upstream element. We have shown that the products of the gap genes and of a subset of the pair-rule genes act through the zebra element,

Our strategy to identify interactions between cis-acting control elements and trans-acting gene products has several limitations. First, when a mutation changes the pattern of expression of a ftz/lacZ gene containing a particular control element, it shows that the wild-type gene

product is required for the correct function of the control

whereas the action of the upstream element requires the

product of the ftz^+ gene itself (Figure 9).

element, but does not provide any information about the nature of the interaction. The interaction could be direct binding of the gene products to regulatory sites within the control element, or more indirect effects via intermediate steps. Second, our assignment of the zebra element as the target sequence for the products of the gap genes and the pair-rule genes hairy and runt does not rule out the

possibility that these gene products also interact with other control elements. Our data suggest that factors other than the ftz⁺ product act through the upstream element, and one of them may be a gap gene product (see below).

A Positive Feedback Loop Is Involved in Expression in the Stripes

In ftz mutant embryos, the ftz/lacC gene is expressed only

present. Thus, products of the ftz⁺ gene appear to act on the ftz gene to achieve enhanced expression, implying a positive autoregulatory feedback mechanism. Mathematical models that involve autocatalytic feedback mechanisms have been proposed to explain spatially repeated gene activation (Meinhardt and Gierer, 1980). We note, however, that the striped pattern per se does not require

the feedback loop, because it can be established in the

absence of either the upstream element or the ftz+ prod-

uct, or both. A positive feedback mechanism therefore ap-

weakly, although a spatially repeated stripe pattern is still

pears to be involved in the maintenance, rather than the initiation, of the striped pattern.

The Upstream Element Is a ftz-Dependent Enhancer The upstream element can enhance expression of ftz/

lacZ genes in both orientations and at variable distances from the ftz promoter. It can also activate a heterologous promoter in a ftz-like striped pattern. The upstream element therefore fulfills the criteria of an enhancer element (reviewed by Serfling et al., 1985). Our genetic analysis in-

dicates that the enhancer action of the upstream element

requires ftz+ activity; thus, the upstream element is a ftz-

dependent enhancer.

Unlike expression in the stripes, expression of the ftz gene in the CNS requires neither the upstream element nor the ftz^+ product. Conversely, the upstream element does not exhibit ftz-dependent enhancer activity in the CNS. The simplest interpretation of these results is that the enhancer action of the upstream element depends not only on the ftz^+ product but also on another factor (or factors) not present in the ftz^+ cells in the CNS. Such additional factors may act as cofactors by interacting with the upstream element, may modify the ftz product to enable

in mediating the enhancer effect to the promoter.

The upstream element has been shown to contain an attachment site to the nuclear scaffold (or nuclear matrix) (Gasser and Laemmli, 1986). Factors involved in scaffold attachment may influence transcription efficiency (Mirkovitch et al., 1984; Cockerill and Garrard, 1986) and are thus candidates for the additional factor required for the enhancer action.

a striped pattern raises a possibility that the upstream ele-

it to function as a trans-acting factor, or may be involved

The Upstream Element May Also Respond to Stripe-Determining Signals The fact that the upstream element alone can give rise to

ment is a second zebra element: i.e., this element confers the striped pattern by directly responding to the cues produced by the *trans*-acting genes such as the gap genes and the pair-rule genes *hairy* and *runt*. Indeed, mutations in these genes do alter the striped expression pattern of the UPHZ50H gene, which contains only the upstream element from the *ftz* gene (Figure 6G and data not shown). Given the *ftz*-dependent enhancer effect of the upstream element, this result can also be interpreted as a pattern change caused indirectly by the altered distribution of the *ftz*+ product. However, the apparently spatially restricted pattern of *ftz*-independent expression of the UPHZ50H gene (Figure 6F) suggests that the upstream element may in fact respond to some, if not all, of the stripe-determining signals whose effects are enhanced by the action of the

ftz+ product.

The pattern of expression of the UPHZ50T gene also supports the idea that the upstream element and the zebra element respond to an overlapping set of signals. We have shown that PS4-specific activation of the UPHZ50T gene requires Krüppel+ activity (Figure 8F). The broadened strong stripe in hunchback mutant embryos can be explained by anterior extension of the Krüppel do-

UPHZ50H gene.

al., 1986). Since PS4-specific expression also occurs in the absence of the ftz+ product (Figure 8D), the observed effect of Krüppel on the UPHZ50T gene may be due to interaction of the Krüppel+ product with the upstream ele-

main in the absence of hunchback+ function (Jäckle et

ment in a pathway not involving the ftz+ product. This suggests that Krüppel has a dual role in ftz expression first in establishment of the pattern of seven stripes by acting through the zebra element, and second in PS4-specific activation, which is mediated by the upstream element. The difference in the pattern of expression of the UPHZ50T and ftz⁺ genes leads us to question whether the interaction between the Krüppel product and the upstream element is relevant for expression of the chro-

mosomal ftz gene. If such an interaction is indeed re-

quired for the expression of the ftz gene in the second

stripe, it is likely that the other six stripes would also re-

quire other factors for their activation. Such factors may be

responsible for weak ftz-independent expression of the

How the upstream element confers different patterns of

expression in the UPHZ50H and UPHZ50T genes is an

open question. It has been proposed that the action of enhancer elements is critically dependent on protein-protein interactions between factors bound to enhancers and other transcriptional control elements (Takahashi et al., 1986). If different factors interact with the upstream element in different stripes, the physical configuration of the upstream element with respect to the promoter may have hampered the efficient action of some of the factors by steric hindrance. Lack of PS4-specific activation in the ftz/lacE gene (Figures 1 and 2E) suggests that the critical information is not the orientation of the upstream element. Preliminary evidence indicates that a more normal ftz-like pattern can be obtained when the distance between the

Mode of Action of Homeodomain-Containing Proteins Several lines of genetic evidence indicate that genes containing a homeobox are involved in regulation of gene ac-

tivity (Garcia-Bellido, 1977; Lewis, 1978; Hafen et al.,

hsp70 promoter and the inverted upstream element is in-

creased (unpublished observation).

1984b; Struhl and White, 1985; Howard and Ingham, 1986; Carroll and Scott, 1986; Harding et al., 1986; Macdonald et al., 1986). The structural homology of a part of the homeodomain to the DNA-binding domain of prokaryotic and yeast regulatory proteins has led to the suggestion that homeobox-containing genes encode regulatory proteins that effect gene expression via sequence-specific DNA binding (Shepherd et al., 1984; Laughon and Scott, 1984). Nuclear localization of homeodomain-containing proteins (see, for example, White and Wilcox, 1984; Carroll and Scott, 1985) and sequence-specific DNA binding of an engrailed homeodomain fusion protein in vitro

tional activity of their target genes. Our results shed some light on the mode of action of a homeodomain-containing protein. We have shown that the ftz+ product regulates the activity of its own gene by

(Desplan et al., 1985) support this view. However, little is

known about how such proteins influence the transcrip-

though our experiments do not indicate whether the ftz protein directly binds to the upstream element, they provide functional evidence that a role of the active ftz+ product (most likely the ftz protein) is to activate a transcriptional enhancer element. It has been shown that ftz+ activity is required for correct activation of the segmentation gene engrailed and some of the homeotic selector genes (Howard and Ingham, 1986; Ingham and Martinez-Arias, 1986; Duncan, 1986). We suggest that the ftz protein may regulate expression of these target genes also by interacting with their enhancers. Since a putative interaction with the enhancer elements would likely be mediated via the homeodomain, we can speculate that other homeobox-containing gene products have similar functional specificity in their target sequences, and act on enhancer elements. This model does not imply that all homeodomain-containing proteins act by activating enhancers; they may also repress transcription by com-

peting for activating factors for the binding sites in the

enhancer element. These hypotheses are testable by

identifying enhancer elements through construction of

lacZ fusion genes such as UPHZ genes and testing

whether their expression is dependent on the activity of

clues to the problem of regulation of the homeotic selector genes such as Ultrabithorax (Ubx), Antp, and Sex comb

reduced (Scr). These genes are expressed in a spatially

acting through its enhancer, the upstream element. Al-

The Role of the ftz Gene in Expression of the Homeotic Genes The expression patterns of the UPHZ genes provide some

the relevant gene.

restricted manner from the blastoderm stage on. The highest levels of expression usually occur in the region that gives rise to segments affected the most by mutation in the locus (PS6 for Ubx, PS4 for Antp, PS2 for Scr) (Akam and Martinez-Arias, 1985; Levine et al., 1983; Kuroiwa et al., 1985). In addition to these peaks of activity, these genes are expressed in transient striped patterns of doublesegment periodicity, a pattern termed pair-rule modulation (Akam, 1985; Ingham and Martinez-Arias, 1986). This has been interpreted to mean that pair-rule genes are involved in providing the correct frame of expression (Akam, 1985). Indeed, it has been shown recently that the spatial pattern of expression of the homeotic selector genes is critically

1986). We offer a model to explain how the ftz+ product is involved in the expression of the homeotic genes. We propose that the expression of homeotic genes Antp and Scr at the blastoderm stage is mediated by a sequence element that has homology to the ftz upstream element and has the properties of a ftz-dependent enhancer. Since the fusion of the upstream element to a promoter with no spatial specificity can result in ftz-dependent activation of the promoter in a pattern similar to Antp or Scr expression, this model accounts not only for ftz dependence but also for the spatial specificity of both genes.

dependent on ftz+ activity (Ingham and Martinez-Arias,

Genes containing the homeobox are believed to have evolved by duplications of an ancestral gene. If there is a functional interaction between the homeodomain and the upstream element, it is conceivable that such duplication events have conserved not only the homeobox but also some regulatory sequence required for its expression. This would create a regulatory interaction between the duplicated genes, such as those found among segmentation/homeotic genes. Alternatively, the upstream element of the chromosomal ftz gene itself may exert an enhancer effect in cis to the flanking homeotic genes Antp and Scr. It should be possible to distinguish these possibilities by providing ftz⁺ function in trans using ftz transformant lines (Hiromi et al., 1985) and asking whether it can activate the Antp and Scr genes in the physical absence of the ftz upstream element.

Experimental Procedures

Drosophila Mutant StrainsThe following mutant alleles of segmentation genes (Nüsslein-Volhard and Wieschaus, 1980) were used in this study: *hunchback*, *hb*^{14F21}, a

class I allele (Lehmann and Nüsslein-Volhard, 1987); Krüppel, Kr2 (Wieschaus et al., 1984); hairy, h5H07 and hIL79K, class IV alleles (Ingham et al., 1985b); runt, runYE96 and Df(1)runtIII2 (Gergen and Wieschaus, 1986); fushi tarazu, ftz9H34, ftzw20, and Df(3R)4scb (Jürgens et al., 1984; Wakimoto et al., 1984). All of the alleles are either amorphic or strong hypomorphic alleles. In particular, the two ftz alleles used (ftz9H34 and ftzw20) have been shown to produce no protein product detectable by antibody directed against the ftz protein (Carroll and Scott, 1985; S. Carroll and C. Doe, personal communication). Df(3R)4scb deletes the entire ftz gene as well as parts of the flanking homeotic genes Antp and Scr (Kuroiwa et al., 1985). ftz9H34 was used in most experiments. A chromosome carrying both ftz9H34 and hairy^{5H07} was created by recombination. Embryos lacking Antp⁺ function were generated by making trans heterozygotes of the deficiencies Df(3R)4scb and Df(3R)Ns+RC7. The latter deficiency deletes most of the Antp gene but leaves ftz intact (Kuroiwa et al., 1985).

Construction of *lacZ* Fusion Genes We have constructed two series of P-element vectors containing *lacZ*

fusion genes. The first series consists of those that contain "transcriptional fusion" genes, in which the 5' flanking sequence and leader sequence of the ftz gene are fused, after the first methionine codon, to the Escherichia coli <code>lacZ</code> gene (Figure 1). These fusion genes are collectively called <code>ftz/lacZ</code> genes. The second series of P-element vectors contain "enhancer fusion" genes, in which the <code>ftz</code> upstream element is fused to the <code>hsp70/lacZ</code> transcriptional fusion gene (Figure 5). These fusion genes are called UPHZ genes. All P-element constructs were made in the transformation vectors Carnegie 20 (Rubin and Spradling, 1983) and cp20.1 (Simon et al., 1985). In all cases, the <code>rosy+</code> marker gene is located at the 5' side of the fusion gene. For simplicity, we refer to both the P elements containing the fusion genes and the plasmid constructs including the P-element vectors by the names of the fusion genes.

Three <code>ftz/lacZ</code> constructs with simple deletions of the 5' flanking se-

quences (ftz/lacA to ftz/lacC) have been described previously (Hiromi et al., 1985). Four new ftz/lacZ constructs (ftz/lacD to ftz/lacG) can be considered derivatives of the ftz/lacA construct, which has the ftz zebra element fused to the lacZ gene. They were constructed by cloning the ftz upstream element into either the 5' (ftz/lacD and ftz/lacE) or 3' (ftz/lacF) side of the ftz/lacA construct. The ftz/lacG construct has a DNA fragment with a length similar to that of the upstream element (2.5 kb Xbal–SacI fragment from the Antp eighth exon; Schneuwly et al., 1986) at the 5' side of the ftz/lacA gene, thus separating the zebra element from the rosy+ gene by 2.5 kb.

Six UPHZ constructs and a control construct, HZ50, were made as

follows. UPHZ50T and UPHZ50D3 were made by exchanging the zebra element–lacZ portion of the ftz/lacE and ftz/lacF constructs with a hsp70/lacZ fusion gene that has sequences of the hsp70 gene

to -50 from the cap site (derived from plasmid 31Nru; Amin et al., 1985; R. Voellmy, personal communication). HZ50 was made by deleting the ftz upstream element from UPHZ50D3. The UPHZ50D1 and UPHZ50D2 genes were made by inserting the upstream element at the unique Sall site located between the stop codon and the polyadenylation signal of HZ50. To construct UPHZ50H, the upstream element in UPHZ50T was first replaced with a polylinker (Xbal-BamHI-Notl-Kpnl, made by inserting a Notl linker [New England Biolabs] into the Smal site of pUC18), resulting in HZ50PL. This plasmid has three unique cloning sites (Xbal, Notl, and Kpnl) in front of the hsp70 promoter. UPHZ50H was made by inserting the upstream element in normal orientation into the Xbal site of HZ50PL. UPHZ50HS has the same DNA fragment from the Antp gene as was used in the ftz/lacG gene, inserted at the Notl site of UPHZ50H located between the upstream element and the hsp70 promoter. A detailed protocol of the plasmid construction is available on request.

Germ-Line Transformation and Analysis of Expression of *lacZ* Fusion Genes P element-mediated germ-line transformation and establishment of

balanced transformant stocks were carried out as previously described (Rubin and Spradling, 1982; Karess and Rubin, 1984; Hiromi et al., 1985), using p π 25.7wc as the helper P element and $rosy^{506}$ (ORM) as the recipient strain. All but two of the ftz/lacZ gene transformant lines have single-copy insertions of P elements as determined by Southern blot hybridization with a rosy gene probe (data not shown). One line each of ftz/lacB and ftz/lacG elements had insertion of two P elements on the same chromosome. The copy number of P elements in UPHZ

gene transformants was not determined.

Transformant embryos carrying ftz/lacZ fusion genes were fixed and processed for staining as previously described (Hiromi et al., 1985). To visualize the expression of UPHZ genes, a staining solution with lower pH (Simon et al., 1985) was used, and staining was overnight at 37°C. This method had higher sensitivity but also resulted in staining of en-

This method had higher sensitivity but also resulted in staining of endogenous β -galactosidase activity in late embryos. To assess the expression pattern of each fusion gene, 5–10 independent transformant lines per P-element construct were examined at various stages during the establishment of the balanced stocks for β -galactosidase activity, using staining of whole-mount embryos. We found that quantitative levels of β -galactosidase can vary somewhat among different lines of a given construct (see also Hiromi et al., 1985). However, with the exceptions described below, no significant difference in the spatial and temporal patterns of β -galactosidase activity was observed. We consider the β -galactosidase staining pattern common to

most, if not all, of the independent transformant lines to reflect the pat-

tern of expression conferred by the sequences within the P element,

and have described that pattern as the pattern of expression of the fu-

sion gene. We cannot rule out the possibility that sequences in the Carnegie 20 transformation vector have some influence on the expression. For $\it ftz/lacZ$ gene transformants, we found that a fraction (~10%) of the transformant lines exhibit staining in the CNS in a pattern that was not seen in other lines of the same construct. Such expression also occurred with fusion genes that lack the neurogenic element. In two such cases we have mobilized the P element to different chromosomal sites by injection of $p\pi25.7wc$ DNA into transformant embryos (Levis et al., 1985). Lines that lost the original integrated element and have the same P element inserted at new chromosomal sites did not show the abnormal pattern, indicating that the exceptional pattern of expression is not due to rearrangements within the P element but is due to chro-

is not due to rearrangements within the P element but is due to chromosomal position effects exerted by the sequences near the integration point.

Some line-dependent expression was also observed for the HZ50 gene and UPHZ genes. Such expression usually occurred during late embryogenesis; however, some lines showed weak staining along the ventral midline and/or mesodermal anlage at the germ-band extension stage. The striped expression pattern described for the UPHZ50H, UPHZ50HS, and UPHZ50T genes was observed for all transformant

To analyze the expression of *lacZ* fusion genes in mutant embryos, transformant lines having a P-element insertion on a chromosome different from the chromosome on which the mutation resides were

in 7 out of 10 UPHZ50D1 lines and 5 out of 9 UPHZ50D2 lines.

lines of each construct. Expression of the UPHZ50D1 and UPHZ50D2

genes was rather weak, and the striped pattern could be detected only

Autoregulation of ftz via Its Enhancer

ucts of the lacZ fusion genes appear to be much more stable than ftz transcripts and protein (compare Hiromi et al., 1985 with Hafen et al., 1984a; Carroll and Scott, 1985; also see Edgar et al., 1986), it is possi-

used to cross the lacZ fusion genes into the mutant strain. Since prod-

ble to detect \(\beta\)-galactosidase when morphological landmarks of segmentation, such as epidermal grooves, form. In wild-type embryos at

stage 10 (Campos-Ortega and Hartenstein, 1986), the anterior boundaries of each stripe are sharp and coincide with the epidermal grooves.

The posterior boundaries are rather diffuse and do not reach the next groove. Thus the stripes are narrower than one segment wide at this stage. Homozygous mutant embryos were identified by characteristic morphological changes, and if such embryos had altered patterns

of β -galactosidase localization, embryos having the same pattern change were traced back to earlier stages.

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