

$$\ddots \begin{pmatrix} M_{11} & M_{12} & M_{13} & \dots & M_{1N} \\ M_{21} & M_{22} & M_{23} & \dots & M_{2N} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ M_{N1} & M_{N2} & M_{N3} & \dots & M_{NN} \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix} = m \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix}$$

(3) written in long form:

$$k = 1 : (M_{11} - m)c_1 + M_{12}c_2 + M_{13}c_3 + \dots + M_{1N}c_N = 0$$

$$k = 2 : M_{21}c_1 + (M_{22} - m)c_2 + M_{23}c_3 + \dots + M_{2N}c_N = 0$$

$$k = 3 : M_{31}c_1 + M_{32}c_2 + (M_{33} - m)c_3 + \dots + M_{3N}c_N = 0$$

\vdots

$$k = N : M_{N1}c_1 + M_{N2}c_2 + M_{N3}c_3 + \dots + (M_{NN} - m)c_N = 0$$

This can be written as: $(\tilde{M} - m\tilde{I})\tilde{c} = 0$

\mathbf{I} is an $N \times N$ unit matrix where $I_{ij} = \delta_{ij}$

$$\Rightarrow \left[\begin{pmatrix} M_{11} & M_{12} & M_{13} & \dots & M_{1N} \\ M_{21} & M_{22} & M_{23} & \dots & M_{2N} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ M_{N1} & M_{N2} & M_{N3} & \dots & M_{NN} \end{pmatrix} - m \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 1 \end{pmatrix} \right] \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix} = 0$$

Note: if m is known, can solve (3) for c_1, c_2, \dots, c_N . Get a non-trivial solution only if the determinant of the coefficients of the unknown $\{c_i\}$ is zero

(4) In matrix form: $|\tilde{M} - m\tilde{I}| = 0$

$$\begin{vmatrix} (M_{11}-m) & M_{12} & M_{13} & \dots & M_{1N} \\ M_{21} & (M_{22}-m) & M_{23} & \dots & M_{2N} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ M_{N1} & M_{N2} & M_{N3} & \dots & M_{NN} \end{vmatrix} = 0$$

This is called a **SECULAR EQUATION** for the eigenvalues m ; that is, it yields the m 's.

Return to the eigenvalue problem: $\tilde{\mathbf{M}}\tilde{\mathbf{c}} = m\tilde{\mathbf{c}} \quad (a)$

Let \mathbf{M} be block diagonal and partition the column vector \mathbf{c}

$$\tilde{\mathbf{M}} = \begin{pmatrix} \tilde{\mathbf{M}}^{(1)} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \tilde{\mathbf{M}}^{(2)} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \tilde{\mathbf{M}}^{(3)} \end{pmatrix} \tilde{\mathbf{c}} = \begin{pmatrix} \tilde{\mathbf{c}}^{(1)} \\ \tilde{\mathbf{c}}^{(2)} \\ \tilde{\mathbf{c}}^{(3)} \end{pmatrix} \quad (b)$$

Then (a) becomes:

$$\begin{pmatrix} \tilde{\mathbf{M}}^{(1)} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \tilde{\mathbf{M}}^{(2)} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \tilde{\mathbf{M}}^{(3)} \end{pmatrix} \begin{pmatrix} \tilde{\mathbf{c}}^{(1)} \\ \tilde{\mathbf{c}}^{(2)} \\ \tilde{\mathbf{c}}^{(3)} \end{pmatrix} = m \begin{pmatrix} \tilde{\mathbf{c}}^{(1)} \\ \tilde{\mathbf{c}}^{(2)} \\ \tilde{\mathbf{c}}^{(3)} \end{pmatrix} \quad (c)$$

Using the usual rules of matrix multiplication one obtains:

$$\begin{aligned}\tilde{\mathbf{M}}^{(1)}\tilde{\mathbf{c}}^{(1)} &= m\tilde{\mathbf{c}}^{(1)} \\ \tilde{\mathbf{M}}^{(2)}\tilde{\mathbf{c}}^{(2)} &= m\tilde{\mathbf{c}}^{(2)} \\ \tilde{\mathbf{M}}^{(3)}\tilde{\mathbf{c}}^{(3)} &= m\tilde{\mathbf{c}}^{(3)}\end{aligned}\quad (d)$$

Therefore, if \mathbf{M} involves a $N \times N$ problem where N is large, (a) can be simplified into (this case) three eigenvalue problems of smaller dimensions given by (d).

→ 3 secular equations of smaller dimension to solve. This is easiest (and trivial) to do if \mathbf{M} is completely diagonal. There are ways to diagonalize \mathbf{M} , using the eigenfunctions of \mathbf{M} , but we won't be covering that in this course.

Procedure

Given: $\left| \tilde{\mathbf{M}} - m\tilde{\mathbf{I}} \right| = 0$ = secular equation that yields m_i

1.) Take one solution for m , say m_1 and substitute into the set of equations given by:

$$\left(\tilde{\mathbf{M}} - m\tilde{\mathbf{I}} \right) \tilde{\mathbf{c}} = 0 \quad (1)$$

Solve for c_{n1} , $n = 1, 2, \dots, N$

Since the solutions to (1) can only be solved within a constant; that is, for c_{n1}/c_{11} , the expansion coefficients can be completely specified by requiring $\Psi_1 = \sum_{n=1, \dots, N} c_{n1} \Omega_n$ to be normalized; that is, $\langle \Phi_i | \Phi_i \rangle = 1 = \sum_{n=1, \dots, N} |c_{n1}|^2$.

Repeat steps above for next m_j , solving for the $\{c_{nj}\}$, and requiring Φ_j to be normal. The procedure is repeated for $j = 1, 2, \dots, N$.

2.4: Time-independent degenerate perturbation theory

A. Getting the solution in principle: Procedure is effectively similar to non-degenerate case but needs modification for 2 reasons.

1.) $E_k^{(0)} - E_q^{(0)}$ can not be zero when we want to calculate a_k in the spectral expansion

2.) If there are 2 or more states with the same energy we don't know which state will arise in the expansion

Recall:

$$E_q = E_q^{(0)} + \lambda E_q^{(1)} + \lambda^2 E_q^{(2)} + \dots$$

$$\psi_q = \psi_q^{(0)} + \lambda \psi_q^{(1)} + \lambda^2 \psi_q^{(2)} + \dots$$

As $\lambda \rightarrow 0$, $E_q \rightarrow E_q^{(0)}$ and $\Psi_q \rightarrow \Psi_q^{(0)}$

But which state if there more than one with the same $E_q^{(0)}$?

In general therefore $\lim_{\lambda \rightarrow 0} \psi_q = \sum_j c_j \psi_j^{(0)}$ The sum is over the degenerate states, where the degeneracy is labeled g.

To solve this problem, we need two pieces of information:

- a) superposition principle
- b) orthonormalization procedure

a) Superposition Principle

All linear combinations of eigenfunctions of an operator \mathbf{M} corresponding to the same degenerate eigenvalue, m , are also eigenfunctions of \mathbf{M} with eigenvalue m .

That is: $\hat{M}\psi_j = m\psi_j \quad j = 1, 2, \dots, g$

$$\therefore \hat{M}\left(\sum_j c_j \psi_j\right) = \sum_j c_j \hat{M}\psi_j = \sum_j c_j m \psi_j = m\left(\sum_j c_j \psi_j\right)$$

This means we can make linear combinations at will without altering the solutions to the problem.

Recall: eigenfunctions of a self-adjoint operator corresponding to different eigenvalues are orthogonal. Eigenfunctions which are degenerate may be but more than not are not orthogonal to one another

No problem: it is always possible to construct linear combinations of degenerate eigenfunctions which are orthogonal and normalized; that is orthonormal (point 2.)

b) Orthonormalization: Schmidt procedure

Consider a 3-fold degenerate set (Ψ_1, Ψ_2, Ψ_3) .

$$\langle \psi_i | \psi_j \rangle \neq 0 \quad \text{necessarily.}$$

Therefore, construct three new functions $(\Phi_1^{(0)}, \Phi_2^{(0)}, \Phi_3^{(0)})$ from (Ψ_1, Ψ_2, Ψ_3) which are.

Procedure:

$$\text{Let } \phi_1^{(0)} = \psi_1$$

$$\phi_2^{(0)} = b_1 \phi_1^{(0)} + b_2 \psi_2 = b_1 \psi_1 + b_2 \psi_2$$

$$\phi_3^{(0)} = c_1 \phi_1^{(0)} + c_2 \phi_2^{(0)} + c_3 \psi_3 = c_1 \psi_1 + c_2 (b_1 \psi_1 + b_2 \psi_2) + c_3 \psi_3$$

$$\text{and require } \langle \phi_i^{(0)} | \phi_j^{(0)} \rangle = \delta_{ij}$$

Thus, for the example above:

i) $\phi_1^{(0)} = \psi_1$

ii)
$$\begin{aligned} \langle \phi_2^{(0)} | \phi_2^{(0)} \rangle &= 1 = \langle b_1\psi_1 + b_2\psi_2 | b_1\psi_1 + b_2\psi_2 \rangle \\ &= b_1b_1^* \langle \psi_1 | \psi_1 \rangle + b_2^*b_2 \langle \psi_2 | \psi_2 \rangle + b_1^*b_2 \langle \psi_1 | \psi_2 \rangle + b_2^*b_1 \langle \psi_2 | \psi_1 \rangle \\ &= b_1^2 + b_2^2 + 2b_1b_2 \langle \psi_1 | \psi_2 \rangle \end{aligned}$$

but
$$\begin{aligned} \langle \phi_1^{(0)} | \phi_2^{(0)} \rangle &= 0 = \langle \psi_1 | b_1\psi_1 + b_2\psi_2 \rangle \\ &= b_1 + b_2 \langle \psi_1 | \psi_2 \rangle \end{aligned}$$

This is 2 equations in 2 unknowns (b_1 and b_2) since $\langle \Psi_i | \Psi_j \rangle$ can be calculated

iii) Similarly, use

$$\langle \phi_3^{(0)} | \phi_3^{(0)} \rangle = 1; \quad \langle \phi_1^{(0)} | \phi_3^{(0)} \rangle = 0; \quad \langle \phi_2^{(0)} | \phi_3^{(0)} \rangle = 0$$

to generate 3 equations in 3 unknowns to solve for (c_1, c_2, c_3) .

These will be our starting wave functions! For state q , we will call these states $\{\Phi_{q,j}^{(0)}\}$

Now we can

1.) generate a complete orthonormal set of wave functions by linear combinations of the form

$$\phi_{q,j}^{(0)} = \sum_{j=1}^g c_j \psi_{q,j}^{(0)}$$

for all degenerate eigenvalues; that is, generate g Φ 's with g sets of coefficients.

2. Use this new set of wave functions in a perturbation problem.

For the following be careful of the meaning of super- and subscripts

Will use: j for the degenerate level, $j = 1, 2, \dots, g$
 q for the level of interest
 k for all levels, $k=1, 2, \dots, \infty$, degenerate or not.